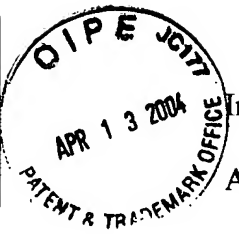


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2875
Examiner: Unassigned



In re PATENT APPLICATION of

Applicant : Chien-Chang HUANG, et al.

Appl. No. : 10/628,438

Filed : July 29, 2003

For : OPTICAL APPARATUS

Atty. Dkt. : TOP 297

**INFORMATION
DISCLOSURE
STATEMENT AND
CERTIFICATION**

April 13, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is an information disclosure statement submitted in compliance with the timing requirements of 37 C.F.R. §1.97(b)(3), i.e., prior to a First Office Action on the merits.

Listed for consideration are two U.S. Patents, which were first cited by the Taiwanese Patent Office in a counterpart application. Any relevance of the patents is self-evident. The patents are listed on the attached Form PTO-1449.

It is hereby certified that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Since this Information Disclosure Statement is being filed before the first office action on the merits, no fee is required, and the requirements of 37 C.F.R. §§1.97 and 1.98 are deemed to be fully met as to all documents listed. Consideration of the listed documents is respectfully requested.

Respectfully submitted,

Robert H. Berdo, Jr. (Reg. No. 38,075)
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CUSTOMER NO. 23995

April 13, 2004
Date

RHB:vm

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT				Atty. Docket TOP 297		Application No. 10/628,438	
				Applicant Chien-Chang HUANG, et al.			
				Filing Date July 29, 2003		Group 2875	
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA	US 5,349,371	09/20/94	Kwang-Chien FONG			02/24/93
	AB	US 4,751,505	06/14/88	Lisa WILLIAMS, et al.			06/23/86
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	AO						
Examiner					Date Considered		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							